

Principle of Inclusion-Exclusion

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Solution.

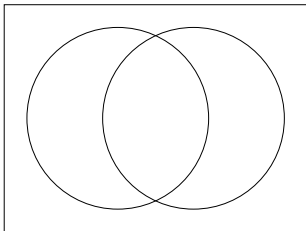
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Solution.

Let S be the set of students who play soccer and B be the set of students who play basketball.

Then, $|S \cup B| = |S| + |B|$ _____.



Principle of Inclusion-Exclusion

When $A = A_1 \cup \cdots \cup A_k \subset \mathcal{U}$ (\mathcal{U} for universe) and the sets A_i are *pairwise disjoint*, we have $|A| = |A_1| + \cdots + |A_k|$.

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$$|A_1 \cup \dots \cup A_m| = \sum |A_i| - \sum |A_i \cap A_j| + \sum |A_i \cap A_j \cap A_k| \dots$$

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It may be more convenient to apply inclusion/exclusion where the A_i are *forbidden* subsets of \mathcal{U} , in which case _____.

mmm...PIE

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Notation: $\pi = p_1 p_2 \cdots p_n$ is the **one-line notation** for a permutation of $[n]$ whose first element is p_1 , second element is p_2 , etc.

Example. How many permutations $p = p_1 p_2 \cdots p_n$ are there in which at least one of p_1 and p_2 are even?

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Combinations with Repetitions

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What we would like to calculate is:

In how many ways can we choose k elements out of an arbitrary multiset?

Now, it's as easy as PIE.

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Now calculate: $|\mathcal{U}| = \quad |A_1| = \quad |A_2| = \binom{3}{5} \quad |A_3| = \binom{3}{4}$
 $|A_1 \cap A_2| = 3 \quad |A_1 \cap A_3| = 1 \quad |A_2 \cap A_3| = 0 \quad |A_1 \cap A_2 \cap A_3| = 0$

And finally: So $|\mathcal{U}| - |A_1 \cup A_2 \cup A_3| =$

Derangements

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Definition: An **n -derangement** is an n -permutation $\pi = p_1 p_2 \cdots p_n$ such that $p_1 \neq 1, p_2 \neq 2, \dots, p_n \neq n$.

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Notation: We let D_n be the number of all n -derangements.

When you see D_n , think combinatorially: “The number of ways to return n hats to n people so no one gets his/her own hat back”

Calculating the number of derangements

Example. Calculate D_n .

Solution. Let \mathcal{U} be the set of all n -permutations.

Remove bad permutations using PIE.

For all i from 1 to n , define A_i to be n -perms where $p_i = i$.

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When intersecting k sets, $|A_{i_1} \cap \dots \cap A_{i_k}| =$

Recall: $|A_1 \cup \dots \cup A_n| = \sum |A_i| - \sum |A_i \cap A_j| + \sum |A_i \cap A_j \cap A_k| \dots$

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Recall: $|A_1 \cup \dots \cup A_n| = \sum |A_i| - \sum |A_i \cap A_j| + \sum |A_i \cap A_j \cap A_k| \dots$

Therefore, $D_n = |\mathcal{U}| - |A_1 \cup \dots \cup A_n| =$

Randomly returning hats

Upon simplification, we see

$$\begin{aligned}D_n &= n! - \binom{n}{1}(n-1)! + \binom{n}{2}(n-2)! - \cdots + (-1)^n \binom{n}{n}0! \\ &= n! - \frac{n!}{1!} + \frac{n!}{2!} - \cdots + (-1)^n \frac{n!}{n!} \\ &= n! \left[1 - \frac{1}{1!} + \frac{1}{2!} - \frac{1}{3!} + \cdots + (-1)^n \frac{1}{n!} \right]\end{aligned}$$

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Conclusion: If n people go to a party and the hats are passed back randomly, the probability that no one gets his or her hat back at the party is $D_n/n!$, which is approximately $1/e \approx 37\%$.

Combinatorial proof involving D_n

Recall: The combinatorial interpretation of D_n is: “The number of ways to return n hats to n people so no one gets his/her own hat back”

Example. Prove the following recurrence relation for D_n combinatorially.

$$D_n = (n - 1)(D_{n-2} + D_{n-1})$$

A formula for Stirling numbers (p. 90)

Recall: $S(n, k) = \left\{ \begin{matrix} n \\ k \end{matrix} \right\}$ is the number of partitions of the set $[n]$ into exactly k parts

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Question: What is a formula for $S(n, k)$?

Solution. We will find the number of surjections from $[n]$ to $[k]$.

Use PIE with \mathcal{U} = set of **all** functions from $[n]$ to $[k]$.

We will remove the “bad” functions where the range is not $[k]$.

A formula for Stirling numbers (p. 90)

(Careful: change of notation !!)

Recall: $S(n, k) = \left\{ \begin{matrix} n \\ k \end{matrix} \right\}$ is the number of partitions of the set $[n]$ into exactly k parts, and $k!S(n, k)$ is the number of **onto functions** $[n] \rightarrow [k]$.

Question: What is a formula for $S(n, k)$?

Solution. We will find the number of surjections from $[n]$ to $[k]$.

Use PIE with \mathcal{U} = set of **all** functions from $[n]$ to $[k]$.

We will remove the “bad” functions where the range is not $[k]$.

Define A_i be the set of functions $f : [n] \rightarrow [k]$ where i is not “hit”.

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In words, $A_{i_1} \cap \cdots \cap A_{i_j}$ are functions where none of i_1 through i_j are elements of the image.

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We calculate: $|\mathcal{U}| = k^n$, $|A_i| = (k - 1)^n$, $|A_i \cap A_j| = (k - 2)^n$

When intersecting j sets, $|A_{i_1} \cap \cdots \cap A_{i_j}| = (k - j)^n$.

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When intersecting j sets, $|A_{i_1} \cap \cdots \cap A_{i_j}| = (k - j)^n$.

Therefore, $k!S(n, k) = \sum_{j=0}^k (-1)^j \binom{k}{j} (k - j)^n$; we conclude

$$S(n, k) = \frac{1}{k!} \sum_{j=0}^k (-1)^j \binom{k}{j} (k - j)^n$$

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Therefore, $k!S(n, k) = \sum_{j=0}^k (-1)^j \binom{k}{j} (k - j)^n$; we conclude

$$S(n, k) = \frac{1}{k!} \sum_{j=0}^k (-1)^j \binom{k}{j} (k - j)^n = \frac{1}{k!} \sum_{j=0}^k (-1)^{k-j} \binom{k}{j} j^n.$$

A formula for Bell numbers (p. 166)

(Careful: change of notation !!)

Recall: B_n is the number of partitions of $[n]$ into any number of parts. Manipulate our expression from prev. page to find a formula.

$$B_n = \sum_{k \geq 0} \left\{ \begin{matrix} n \\ k \end{matrix} \right\} = \sum_{k \geq 0} \frac{1}{k!} \sum_{j=0}^k \binom{k}{j} (-1)^{k-j} j^n$$

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$$B_n = \sum_{k \geq 0} \left\{ \begin{matrix} n \\ k \end{matrix} \right\} = \sum_{k \geq 0} \frac{1}{k!} \sum_{j=0}^k \frac{k!}{j!(k-j)!} (-1)^{k-j} j^n$$

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 &= \sum_{k \geq 0} \sum_{j=0}^k \frac{1}{j!(k-j)!} (-1)^{k-j} j^n
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 &= \sum_{j \geq 0} \sum_{k \geq j} \frac{(-1)^{k-j}}{(k-j)!} \frac{j^n}{j!} = \sum_{j \geq 0} \frac{j^n}{j!} \sum_{k \geq j} \frac{(-1)^{k-j}}{(k-j)!}
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 \end{aligned}$$

Theorem 4.3.3. For any $n > 0$, $B_n = \frac{1}{e} \sum_{j \geq 0} \frac{j^n}{j!}$.

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 \end{aligned}$$

Theorem 4.3.3. For any $n > 0$, $B_n = \frac{1}{e} \sum_{j \geq 0} \frac{j^n}{j!}$.

For example, $B_5 = \frac{1}{e} \left(\frac{0^5}{0!} + \frac{1^5}{1!} + \frac{2^5}{2!} + \frac{3^5}{3!} + \frac{4^5}{4!} + \frac{5^5}{5!} + \dots \right) = 52$.