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This illustrates the **sum principle**:

Suppose the objects to be counted can be broken into  $k$  disjoint and exhaustive cases. If there are  $n_j$  objects in case  $j$ , then there are  $n_1 + n_2 + \cdots + n_k$  objects in all.

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**Common example:** A deck of cards.

There are four suits: Diamond  $\diamond$ , Heart  $\heartsuit$ , Club  $\clubsuit$ , Spade  $\spadesuit$ .

Each has 13 cards: Ace, King, Queen, Jack, 10, 9, 8, 7, 6, 5, 4, 3, 2.

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**Example.** Suppose you are dealt two diamonds between 2 and 10. In how many ways can the product be even?

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$$\frac{3744}{2598960} \approx 0.14\%$$

# Introduction to Bijections

**Key tool:** A useful method of proving that two sets  $A$  and  $B$  are of the same size is by way of a *bijection*.

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**Example.** The set  $A$  of subsets of  $\{s_1, s_2, s_3\}$  are in bijection with the set  $B$  of binary words of length 3.

Set A:  $\{ \emptyset, \{s_1\}, \{s_2\}, \{s_1, s_2\}, \{s_3\}, \{s_1, s_3\}, \{s_2, s_3\}, \{s_1, s_2, s_3\} \}$

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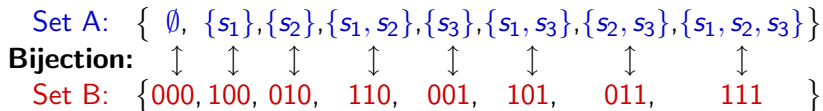
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Difficulties:

- ▶ **Finding** the function or rule (requires rearranging, ordering)
- ▶ **Proving** the function or rule (show it **IS** a bijection).

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**Reminder:** A **function**  $f$  from  $A$  to  $B$  (write  $f : A \rightarrow B$ ) is a rule where for each element  $a \in A$ ,  $f(a)$  is defined as an element  $b \in B$  (write  $f : a \mapsto b$ ).

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**Example.** Let  $A$  be the set of 3-subsets of  $[n]$  and let  $B$  be the set of 3-lists of  $[n]$ . Then define  $f : A \rightarrow B$  to be the function that takes a 3-subset  $\{i_1, i_2, i_3\} \in A$  (with  $i_1 \leq i_2 \leq i_3$ ) to the word  $i_1 i_2 i_3 \in B$ .

**Question:** Is  $\text{rng}(f) = B$ ?

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What is an example of a function that is onto and not one-to-one?

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**Step 1: Find a candidate bijection.**

**Strategy.** Try out a small (enough) example. Try  $n = 5$  and  $k = 2$ .

$$\left\{ \begin{array}{l} \{1, 2\}, \{1, 3\} \\ \{1, 4\}, \{1, 5\} \\ \{2, 3\}, \{2, 4\} \\ \{2, 5\}, \{3, 4\} \\ \{3, 5\}, \{4, 5\} \end{array} \right\} \leftrightarrow \left\{ \begin{array}{l} \{1, 2, 3\}, \{1, 2, 4\} \\ \{1, 2, 5\}, \{1, 3, 4\} \\ \{1, 3, 5\}, \{1, 4, 5\} \\ \{2, 3, 4\}, \{2, 3, 5\} \\ \{2, 4, 5\}, \{3, 4, 5\} \end{array} \right\}$$

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A bijection between  $A$  and  $B$  will prove  $\binom{n}{k} = |A| = |B| = \binom{n}{n-k}$ .

### Step 1: Find a candidate bijection.

**Strategy.** Try out a small (enough) example. Try  $n = 5$  and  $k = 2$ .

$$\left\{ \begin{array}{l} \{1, 2\}, \{1, 3\} \\ \{1, 4\}, \{1, 5\} \\ \{2, 3\}, \{2, 4\} \\ \{2, 5\}, \{3, 4\} \\ \{3, 5\}, \{4, 5\} \end{array} \right\} \leftrightarrow \left\{ \begin{array}{l} \{1, 2, 3\}, \{1, 2, 4\} \\ \{1, 2, 5\}, \{1, 3, 4\} \\ \{1, 3, 5\}, \{1, 4, 5\} \\ \{2, 3, 4\}, \{2, 3, 5\} \\ \{2, 4, 5\}, \{3, 4, 5\} \end{array} \right\}$$

**Guess:** Let  $S$  be a  $k$ -subset of  $[n]$ . Perhaps  $f(S) = \underline{\hspace{2cm}}$ .

## Proving a Bijection

### Step 2: Prove $f$ is well defined.

The function  $f$  is well defined. If  $S$  is any  $k$ -subset of  $[n]$ , then  $S^c$  is a subset of  $[n]$  with  $n - k$  members. Therefore  $f : A \rightarrow B$ .

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**$f$  is onto:** Suppose that  $T \in B$  is an  $(n - k)$ -subset of  $[n]$ . We must find a set  $S \in A$  satisfying  $f(S) = T$ . Choose  $S = \underline{\hspace{2cm}}$ . Then  $S \in A$  (why?), and  $f(S) = S^c = T$ , so  $f$  is onto.

We conclude that  $f$  is a bijection and therefore,  $\binom{n}{k} = \binom{n}{n-k}$ .

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When  $f : A \rightarrow B$  is 1-to-1, we can define  $f$ 's **inverse**.

We write  $f^{-1}$ , and it is a function from  $\text{rng}(f)$  to  $A$ .

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*Theorem.* Suppose that  $A$  and  $B$  are finite sets and that  $f : A \rightarrow B$  is a function. If  $f^{-1}$  is a function with domain  $B$ , then  $f$  is a bijection.

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**Consequence:** An alternative method for proving a bijection is:

- ▶ Find a rule  $g : B \rightarrow A$  which always takes  $f(a)$  back to  $a$ .
- ▶ Verify that the domain of  $g$  is *all of*  $B$ .

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$$f(S) = \begin{cases} S - \{1\} & \text{if } 1 \in S \\ S \cup \{1\} & \text{if } 1 \notin S \end{cases}.$$

►  $f : A \rightarrow B$  is a well defined function from  $A$  to  $B$  (why?).

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*Consequence:*  $\sum_{k=0}^n (-1)^k \binom{n}{k} = 0.$