

# The sum principle

Often it makes sense to break down your counting problem into smaller, **disjoint**, and easier-to-count sub-problems.

**Example.** How many integers from 1 to 999999 are palindromes?

**Answer:** Condition on how many digits.

- |             |                 |
|-------------|-----------------|
| ▶ Length 1: | ▶ Length 4:     |
| ▶ Length 2: | ▶ Length 5,6:   |
| ▶ Length 3: | ▶ <b>Total:</b> |

★ Every palindrome between 1 and 999999 is counted once.

This illustrates the **sum principle**:

Suppose the objects to be counted can be broken into  $k$  disjoint and exhaustive cases. If there are  $n_j$  objects in case  $j$ , then there are  $n_1 + n_2 + \cdots + n_k$  objects in all.

# Counting pitfalls

When counting, there are two common pitfalls:

- ▶ Undercounting
  - ▶ Often, **forgetting cases** when applying the sum principle.
  - ▶ **Ask:** Did I miss something?
- ▶ Overcounting
  - ▶ Often, **misapplying** the product principle.
  - ▶ **Ask:** Do cases need to be counted in different ways?
  - ▶ **Ask:** Does the same object appear in multiple ways?

**Common example:** A deck of cards.

There are four suits: Diamond  $\diamond$ , Heart  $\heartsuit$ , Club  $\clubsuit$ , Spade  $\spadesuit$ .

Each has 13 cards: Ace, King, Queen, Jack, 10, 9, 8, 7, 6, 5, 4, 3, 2.

**Example.** Suppose you are dealt two diamonds between 2 and 10.  
In how many ways can the product be even?

# Overcounting

**Example.** In Blackjack you are dealt 2 cards: 1 face-up, 1 face-down. In how many ways can the face-down card be an Ace and the face-up card be a **Heart** ♥?

**Answer:** There are    aces, so there are    choices for the down card. There are    hearts, so there are    choices for the up card. By the product principle, there are 52 ways in all.

**Except:**

**Remember to ask:** Do cases need to be counted in different ways?

# Overcounting

**Example.** How many 4-lists taken from  $[9]$  have at least one pair of adjacent elements equal?

**Examples:** 1114, 1229, 5555      **Non-examples:** 1231, 9898.

*Strategy:*

1. Choose where the adjacent equal elements are. (\_\_\_ ways)
2. Choose which number they are. (\_\_\_ ways)
3. Choose the numbers for the remaining elements. (\_\_\_ ways)

By the product principle, there are \_\_\_\_\_ ways in all.

**Except:**

**Remember to ask:** Does the same object appear in multiple ways?

## Counting the complement

**Q1:** How many 4-lists taken from  $[9]$  have **at least one** pair of adjacent elements equal?

—Compare this to—

**Q2:** How many 4-lists taken from  $[9]$  have **no** pairs of adjacent elements equal?

What can we say about:

**Q1:**

**Q2:**

**Together:**

**Q3:**

**Strategy:** It is sometimes easier to **count the complement**.

Answer to Q3:

Answer to Q2:

Answer to Q1:

# Poker hands

**Example.** When playing five-card poker, what is the probability that you are dealt a full house?

[*Three cards of one type and two cards of another type.*] 5 5 5 K K

## Game plan:

- ▶ Count the total number of hands.
  
- ▶ Count the number of possible full houses. **# of ways**
  - ▶ Choose the denomination of the three-of-a-kind.
  - ▶ Choose which three suits they are in.
  - ▶ Choose the denomination of the pair.
  - ▶ Choose which two suits they are in.
  - ▶ Apply the multiplication principle. **Total:**
  
- ▶ Divide to find the probability.