

# Course Notes

Graph Theory, Spring 2011

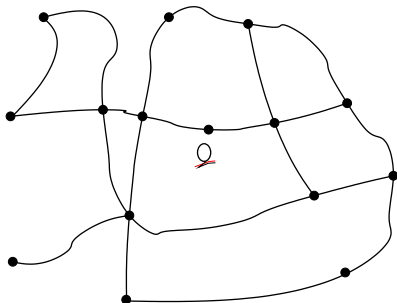
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# What is a graph?

Consider a simplified map of the highways near QC:



We represent each city/intersection with a **vertex** (pl: **vertices**)  
(aka **node**, **point**, **junction**).

And each road with an **edge** (aka **arc**).

Note: Every edge connects two vertices.

# What is a graph?

*Definition:* A **graph**  $G$  is a pair of sets  $(V, E)$ , where  $V$  is a set of *vertices* and  $E$  is set of *edges*, themselves unordered pairs of elements of  $V$ .

Sometimes we will write  $V(G)$  and  $E(G)$  if we want to make clear to which vertex and edge sets we are referring.

*Notation:* We reserve certain variables for:

\_\_\_\_\_ = \_\_\_\_\_ =  $|V(G)|$  = the number of vertices of  $G$

\_\_\_\_\_ = \_\_\_\_\_ =  $|E(G)|$  = the number of edges of  $G$

## Examples of graphs

Example 1. *Matching people and the fruit that they like.*

Erika likes cherries and dates.

Frank likes apples and cherries.

Greg likes bananas and cherries.

Helen likes apples, bananas, dates.

A graph can illustrate these relationships.

Create a node for each fruit and for each person.

Use edges to connect related vertices.

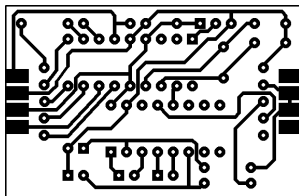
Q. Is there a way for each person to receive a piece of fruit he or she likes?

A.

Related topics: assignments, perfect matchings, counting questions.

## Examples of graphs

Example 2. *Graph theory of a circuit board.*



Why does it look like this?

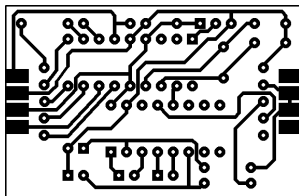
Is the graph planar? How planar is it?

How do we embed in the plane?

Related topics: planarity, non-planarity stats, graph embeddings

## Examples of graphs

Example 2. *Graph theory of a circuit board.*



Where should we drill the holes?

How to drill them as fast as possible?

Related topics: Traveling Salesman Problem, computer algorithms, optimization

# What is a graph? (for now)

Let's be even more precise about the types of graphs we'll study:

For a graph  $G = (V, E)$ , *for now*,

- ▶  $G$  is **finite**. (That is,  $|V| < \infty$ .)
  - ▶ (Infinite graphs do exist.)
  
- ▶  $G$  is **simple**.
  - ▶ No two vertices are connected by more than one edge.
    - ▶ Graphs with **multiple edges** are called **multigraphs**.
  
  - ▶ No edge connects a vertex to itself.
    - ▶ Graphs with **loops** are called **pseudographs**.

# Course Structure

`http://people.qc.cuny.edu/faculty  
/christopher.hanusa/courses/634sp11/`

- ▶ Written Homework: 25%
  - ▶ One is due next Wednesday 2/9 (get started ASAP!)
- ▶ Class Participation: 10%
  - ▶ Includes homework presentations
- ▶ Final Report: 15%
  - ▶ Report on a graph theorist
  - ▶ Lesson Plan
  - ▶ Report on a topic from graph theory
- ▶ Midterm: 25%
- ▶ Final Exam: 25%



# How to talk about a graph

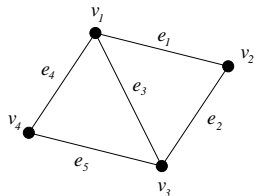
*Example:*  $G = (V, E)$ , where

$V = \{v_1, v_2, v_3, v_4\}$ ,

$E = \{e_1, e_2, e_3, e_4, e_5\}$ , and

$e_1 = \{v_1, v_2\}$ ,  $e_2 = \{v_2, v_3\}$ ,

$e_3 = \{v_1, v_3\}$ ,  $e_4 = \{v_1, v_4\}$ ,  $e_5 = \{v_3, v_4\}$



- ▶ We often write  $e_1 = v_1 v_2$  with the understanding that order does not matter.

We say  $v_i$  is **adjacent** to  $v_j$  if there is an edge between  $v_i$  and  $v_j$ .

We also say  $v_i$  and  $v_j$  are **neighbors**.

Similarly, edges  $e_i$  and  $e_j$  are adjacent.

We say that  $v_i$  is **incident** to/with  $e_j$  if  $v_i$  is an **endpoint** of  $e_j$ .

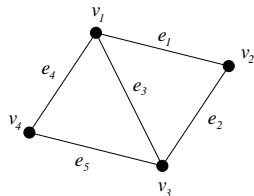
## Degree of a vertex

The **degree** of a vertex  $v$  is the number of edges incident with  $v$ , and denoted  $\deg(v)$ .

In our example,

$$\deg(v_1) = \underline{\quad}, \quad \deg(v_2) = \underline{\quad},$$

$$\deg(v_3) = \underline{\quad}, \quad \deg(v_4) = \underline{\quad}.$$



If  $\deg(v) = 0$ , we call  $v$  an **isolated vertex**.

If  $\deg(v) = 1$ , we call  $v$  an **end vertex** or **leaf**.

If  $\deg(v) = k$  for all  $v$ , we call  $G$   **$k$ -regular**.

**Degree sum** Exploration:

Q. What is  $\deg(v_1) + \deg(v_2) + \deg(v_3) + \deg(v_4)$ ?

A.  $\sum_{v \in V} \deg(v) =$

Q. How many edges does  $G$  have?

A.  $m =$

How are these related?

## Degree of a vertex

*Conjecture:* The degree sum of a graph is equal to twice the number of edges in the graph.

*Proof.* Count the number of vertex-edge incidences in two ways.

*Vertex-centric:* For all  $v$ , how many  $v$ - $e$  incidences are there? \_\_\_\_\_.  
So the total number of vertex-edge incidences in  $G$  is \_\_\_\_\_.

*Edge-centric:* For all  $e$ , how many  $v$ - $e$  incidences are there? \_\_\_\_\_.  
So the total number of vertex-edge incidences in  $G$  is \_\_\_\_\_.

Since we have counted the same quantity in two different ways, the two values are equal. Therefore, for any graph  $G = (V, E)$ ,



*Corollary:* The degree sum of a graph is always even.

## Degree sequence of a graph

For any graph  $G$ , list the degrees of its vertices in weakly decreasing order. This is the graph's **degree sequence**.

In the graph above, the degree sequence is: \_\_\_\_\_.

D. Every simple graph has a degree sequence.

Q. Does every sequence have a simple graph?

A.

## Degree sequence of a graph

**Definition:** A weakly decreasing sequence of non-negative numbers is **graphic** if there is some graph that has this sequence as its degree sequence.

You can prove that a sequence is graphic by showing such a graph.

Q. How can we tell if a sequence is graphic?

A. Use the **Havel–Hakimi algorithm** from Theorem 1.1.2.

Initialization. Start with *Sequence 1*.

Step 1. Take the first number (call it  $s$ ) and remove it.

Step 2. Subtract 1 from each of the next  $s$  numbers in the list.

Step 3. Order the list in non-increasing order and call the resulting sequence *Sequence 2*.

**Theorem:** *Sequence 1 is graphic iff Sequence 2 is graphic.*

★ Apply this algorithm multiple times to reduce to a simple case. ★

**Examples:** 7765333110 and 6644442

## Proof of the Havel–Hakimi algorithm

Setup: standardize the degree sequences:

Sequence 1  $(s \quad t_1 \quad t_2 \quad \dots \quad t_s \quad d_1 \quad \dots \quad d_k)$ .

Sequence 2  $(t_1 - 1 \quad t_2 - 1 \quad \dots \quad t_s - 1 \quad d_1 \quad \dots \quad d_k)$ .

**Theorem:** Sequence 1 is graphic **iff** Sequence 2 is graphic.

**Proof:** ( $S_2$  graphic  $\Rightarrow S_1$  graphic)      Suppose that  $S_2$  is graphic.

Therefore, there exists a graph  $G_2$  with degree sequence  $S_2$ .

We will construct a graph  $G_1$  that has  $S_1$  as its degree sequence.

**Question:** Can this argument work in reverse?

## Proof of the Havel–Hakimi algorithm

*Proof:* ( $S_1$  graphic  $\Rightarrow S_2$  graphic)      Suppose that  $S_1$  is graphic.

Therefore, there exists a graph  $G_1$  with degree sequence  $S_1$ .

We might not directly construct a graph  $G_2$  with degree sequence  $S_2$ .

However, we will construct a graph with degree sequence  $S_2$  in stages.

### Game plan:

$$G_1 \longrightarrow G_2 \longrightarrow G_3 \longrightarrow \cdots \longrightarrow G_a$$

- ▶ Start with  $G_1$  which we know exists.
- ▶ At each stage, create a new graph  $G_i$  from  $G_{i-1}$  such that
  - ▶  $G_i$  has degree sequence  $S_1$ .
  - ▶ The vertex of degree  $s$  in  $G_i$  is adjacent to MORE of the highest degree vertices than  $G_{i-1}$ .
- ▶ After some number of iterations, the vertex  $S$  of degree  $s$  in  $G_a$  will be adjacent to the next  $s$  highest degree vertices.
- ▶ Peel off vertex  $S$  to reveal a graph with degree sequence  $S_2$ .

# Proof of the Havel–Hakimi algorithm

